

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN**

Docket No.
46745,(1008)

Serial No.
08/813,781

Filing Date
March 7, 1997

Patent No.

Issue Date

Applicant/ J. Weidanz, et al.
Patentee:

APR 26 1999
PATENT OFFICE

Invention: **FUSION PROTEINS COMBINING BACTERIOPHAGE COAT PROTEIN AND A SINGLE-CHAIN
T CELL RECEPTOR**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Sunol Molecular CorporationADDRESS OF CONCERN: 2810 North Commerce Parkway, Miramar, Florida 33025

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:

- ☐ the specification filed herewith with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Hing C. Wong

TITLE OF PERSON SIGNING

OTHER THAN OWNER:

President

ADDRESS OF PERSON SIGNING:

Sunol Molecular Corporation
2810 North Commerce Parkway
Miramar, Florida 33025

SIGNATURE:



DATE:

April 12, 1999

Bay 1644



Practitioner's Docket No. 46745 (1008)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: J. Weidanz, et al.
 Application No.: 08 / 813,781 Group No. 1644
 Filed: March 7, 1997 Examiner: M. Lubet
 For: "FUSION PROTEINS COMPRISING BACTERIOPHAGE COAT PROTEIN AND A
 SINGLE-CHAIN T CELL RECEPTOR"
☐ Patent No. : Issued:

*NOTE: Insert name(s) of inventor(s) and title also for patent. Where submission is with respect to a maintenance fee payment, also insert application number and filing date, and mark Form Box M. Fee.

Assistant Commissioner for Patents
 Washington, D.C. 20231

TRANSMITTAL OF STATEMENT(S)
 TO ESTABLISH SMALL ENTITY STATUS

The attached statement is being submitted to establish small entity status in this

- ☒ application,
☐ patent,

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CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Patricia A. Barnes
 Signature

Date: April 22, 1999

Patricia A. Barnes
 (type or print name of person certifying)

by the:

(check all applicable boxes below)

- | | | |
|--|---|---------------------------|
| a. <input type="checkbox"/> | independent inventor(s) | 37 CFR 1.9(c) and 1.27(b) |
| b. <input type="checkbox"/> | non-inventor supporting
claim by another | 37 CFR 1.9(c) and 1.27(b) |
| c. <input checked="" type="checkbox"/> | small business concern | 37 CFR 1.9(d) and 1.27(c) |
| d. <input type="checkbox"/> | nonprofit organization | 37 CFR 1.9(e) and 1.27(d) |



SIGNATURE OF PRACTITIONER

Reg. No. 40,927

Robert L. Buchanan

(type or print name of practitioner)

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